

OIPE

Serial Number: 09/870,483

CRF Processing Date: 6/20/2001
 Edited by: [Signature]
 Verified by: [Signature] (STIC staff)

ENTERED

2

- ☐ Changed a file from non-ASCII to ASCII
- ☐ Changed the margins in cases where the sequence text was "wrapped" down to the next line.
- ☐ Edited a format error in the Current Application Data section, specifically: _____
- ☐ Edited the Current Application Data section with the actual current number. The number inputted by the applicant was ☐ the prior application data; or ☐ other _____
- ☐ Added the mandatory heading and subheadings for "Current Application Data".
- ☐ Edited the "Number of Sequences" field. The applicant spelled out a number instead of using an integer.
- ☐ Changed the spelling of a mandatory field (the headings or subheadings), specifically: _____
- ☐ Corrected the SEQ ID NO when obviously incorrect. The sequence numbers that were edited were: _____
- ☐ Inserted or corrected a nucleic number at the end of a nucleic line. SEQ ID NO's edited: _____
- ☐ Corrected subheading placement. All responses must be on the same line as each subheading. If the applicant placed a response below the subheading, this was moved to its appropriate place.
- ☐ Inserted colons after headings/subheadings. Headings edited included: _____
- ☐ Deleted extra, invalid, headings used by an applicant, specifically: _____
- ☒ Deleted: ☐ non-ASCII "garbage" at the beginning/end of files; ☐ secretary initials/filename at end of file; ☒ page numbers throughout text; ☐ other invalid text, such as _____
- ☐ Inserted mandatory headings, specifically: _____
- ☐ Corrected an obvious error in the response, specifically: _____
- ☐ Edited identifiers where upper case is used but lower case is required, or vice versa.
- ☐ Corrected an error in the Number of Sequences field, specifically: _____
- ☐ A "Hard Page Break" code was inserted by the applicant. All occurrences had to be deleted.
- ☐ Deleted *ending* stop codon in amino acid sequences and adjusted the "(A)Length:" field accordingly (error due to a PatentIn bug). Sequences corrected: _____
- ☐ Other: _____

*Examiner: The above corrections must be communicated to the applicant in the first Office Action. DO NOT send a copy of this form. 3/1/95

RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/870,483

DATE: 07/08/2001

TIME: 14:51:55

Input Set : A:\Pto.amc

Output Set: N:\CRF3\07082001\I870483.raw

2 <110> APPLICANT: NIPPON SHOKUBAI CO., LTD.
4 <120> TITLE OF INVENTION: The process of synthesis of optical active -
hydroxycarboxylic acid
6 <130> FILE REFERENCE: PH-1209US
C--> 8 <140> CURRENT APPLICATION NUMBER: US/09/870,483
C--> 8 <141> CURRENT FILING DATE: 2001-06-01
8 <150> PRIOR APPLICATION NUMBER: JP2000/171186
9 <151> PRIOR FILING DATE: 2000-06-07
11 <160> NUMBER OF SEQ ID NOS: 2
13 <210> SEQ ID NO: 1
14 <211> LENGTH: 37
15 <212> TYPE: DNA
16 <213> ORGANISM: Artificial Sequence
18 <220> FEATURE:
19 <223> OTHER INFORMATION: Description of Artificial Sequence:synthetic DNA
21 <400> SEQUENCE: 1
22 ggggaattca tggtaactgc acattttgtt ctgattc 37
24 <210> SEQ ID NO: 2
25 <211> LENGTH: 30
26 <212> TYPE: DNA
27 <213> ORGANISM: Artificial Sequence
30 <220> FEATURE:
31 <223> OTHER INFORMATION: Description of Artificial Sequence:synthetic DNA
33 <400> SEQUENCE: 2
34 ggggtcgacc tcacggatta gaagccgccg 30

VERIFICATION SUMMARY

PATENT APPLICATION: US/09/870,483

DATE: 07/08/2001

TIME: 14:51:56

Input Set : A:\Pto.amc

Output Set: N:\CRF3\07082001\I870483.raw

L:8 M:270 C: Current Application Number differs, Replaced Current Application No

L:8 M:271 C: Current Filing Date differs, Replaced Current Filing Date

OIPE

RAW SEQUENCE LISTING
 PATENT APPLICATION: US/09/870,483

DATE: 06/20/2001
 TIME: 12:13:21

Input Set : A:\ES.txt
 Output Set: N:\CRF3\06202001\I870483.raw

Does Not Comply
 Corrected Diskette Needed

3 <110> APPLICANT: NIPPON SHOKUBAI CO., LTD.
 5 <120> TITLE OF INVENTION: The process of synthesis of optical active -
 hydroxycarboxylic acid
 7 <130> FILE REFERENCE: PH-1209US
 C--> 9 <140> CURRENT APPLICATION NUMBER: US/09/870,483
 C--> 9 <141> CURRENT FILING DATE: 2001-06-01
 9 <150> PRIOR APPLICATION NUMBER: JP2000/171186
 10 <151> PRIOR FILING DATE: 2000-06-07
 12 <160> NUMBER OF SEQ ID NOS: 2

ERRORED SEQUENCES

25 <210> SEQ ID NO: 2
 26 <211> LENGTH: 30
 27 <212> TYPE: DNA
 28 <213> ORGANISM: Artificial Sequence
 W--> 29 1/2
 31 <220> FEATURE:
 32 <223> OTHER INFORMATION: Description of Artificial Sequence:synthetic DNA
 34 <400> SEQUENCE: 2
 35 ggggtcgacc tcacggatta gaagccgccg 30
 E--> 59 2/2

VERIFICATION SUMMARY

PATENT APPLICATION: US/09/870,483

DATE: 06/20/2001

TIME: 12:13:22

Input Set : A:\ES.txt

Output Set: N:\CRF3\06202001\I870483.raw

L:9 M:270 C: Current Application Number differs, Replaced Current Application No
L:9 M:271 C: Current Filing Date differs, Replaced Current Filing Date
L:29 M:259 W: Allowed number of lines exceeded, <213> ORGANISM:
L:59 M:254 E: No. of Bases conflict, LENGTH:Input:2 Counted:31 SEQ:2
L:59 M:320 E: (1) Wrong Nucleic Acid Designator, NUMBER OF INVALID KEYS:2
L:59 M:252 E: No. of Seq. differs, <211>LENGTH:Input:30 Found:31 SEQ:2